

Docket No. 233227US0/phh



IPW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Jean-Christophe SIMON, et al.

SERIAL NO: 10/687,632

GAU: 1615

FILED: October 20, 2003

EXAMINER:

FOR: MAKEUP COMBINING AT LEAST ONE PHOTOCHROMIC DYE AND AT LEAST ONE GONIOCHROMATIC AGENT

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references cited in the attached Japanese Office Action and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

233227US0

SERIAL NO.

10/687,632

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Jean-Christophe SIMON, et al.

FILING DATE

October 20, 2003

GROUP

1615

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	2003/0118531 A1	06/26/003	Richard KOLODZIEJ, et al.			
	AB	6,294,112 B1	09/25/2001	David A. CLARKE, et al.			
	AC	6,248,264 B1	06/19/2001	David A. CLARKE, et al.			
	AD	2002/0064509 A1	05/30/2002	Sabine GRIMM, et al.			
	AE	2004/0105827 A1	06/03/2004	Sabine GRIMM, et al.			
	AF	2001/0014341 A1	08/16/2001	Patricia LEMANN, et al.			
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO	WO 02/36083 A1	05/10/2002	WIPO (with English Abstract and corr. US 2003/0118531 A1)		X
	AP	2001-518893	10/16/2001	JAPAN (corr. US 6,294,112)		X
	AQ	2001-518926	10/16/2001	JAPAN (corr. US 6,248,264 B1)		X
	AR	2001-518927	10/16/2001	JAPAN (corr. WO 98/42695)		X
	AS	WO 98/42695	10/01/1998	WIPO		
	AT	2002-154927	05/28/2002	JAPAN (corr. US 2002/0064509 A1)		X
	AU	WO 02/28356 A1	04/11/2002	WIPO (with English Abstract and corr. US 2004/0105827 A1)		X
	AV	10-72317	03/17/1998	JAPAN (corr. US 2001/0014341 A1)		X

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	<input type="checkbox"/> Additional References sheet(s) attached

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.